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UI REPORTS HANDBOOK NO. 401

ETA 9056 Nonmonetary Determination Quality Review

A. Facsimile of Form

ETA 9056, NONMONETARY DETERMINATIONS QUALITY DATA COLLECTION INSTRUMENT

1. IDENTIFICATION #: 00000 (5-digit sample sequence)			
2. ISSUE CODE: (2-digit code)			
3. CASE MATERIAL FOUND? (Y/N) (If "N", case fails; elements are left blank.)			
4. CORRECT ISSUE CODE? (Y/N) (If "Y," then item 5 is blank.)			
5. IF ITEM 4 IS "N," ENTER THE CORRECT CODE FROM BELOW. (If no issue existed, enter "00.")			
Separation	Non-Separations		Multi-claimant
10 Quit 20 Discharge MC 29 Other	30 Able/Available 40 Work Search 50 Disq/Ded Income 60 Suitable Work 70 JS Registration 71 Fail to App/Accept Referral 72 Training 73 Profiling	80 School Employee 81 Alien 82 Athlete 83 Unemployment Status 84 Seasonality 85 Removal of Disq. 89 Reporting Requirements/ Misrepresentations/Other	90 Labor Dispute 99 Other
6. INTRASTATE CLAIM? (Y/N)			
7. PROGRAM TYPE: UI UCFE UCX			
8. NONMONETARY DETERMINATION OUTCOME: <u>ALLOWED</u> / <u>DENIED</u>			
9. OUTCOME REPORTED CORRECTLY? (Y/N)			
10. ADJUDICATOR: (SESA use only - 4 characters)			
11. WEEK CLAIMED? (Y/N)			
12. W/E DATE OF FIRST WEEK AFFECTED BY DETERMINATION: (mmddyyyy)			
13. CORRECT WEEK ENDING DATE? (Y/N)			
14. CORRECTED WEEK ENDING DATE (blank if item 13 is 'Y'): (mmddyyyy)			
15. ISSUE DETECTION DATE: (mmddyyyy)			
16. CORRECT ISSUE DETECTION DATE? (Y/N)			
17. CORRECTED ISSUE DETECTION DATE: (blank if item 16 is 'Y'): (mmddyyyy)			
18. CLAIMANT INFORMATION: Adequate A , Inadequate I , Not Obtained N , Not Applicable X			
19. EMPLOYER INFORMATION: Adequate A , Inadequate I , Not Obtained N , Not Applicable X			
20. INFORMATION (FACTS) FROM OTHERS: Adequate A , Inadequate I , Not Obtained N , Not Applicable X			
21. REBUTTAL OPPORTUNITY PROVIDED: Offered O , Not Offered N , Not Applicable X			
22. LAW/POLICY: Meets M , Questionable Q , Does Not Meet X			
23. WRITTEN DETERMINATION: Adequate A , Inadequate I , Completely Wrong X (If 'X' then item 22 cannot be = 'M')			
24. APPEAL INFORMATION PROVIDED: Sufficient S , Insufficient I			
25. DATE DETERMINATION ISSUED: (mmddyyyy)			
26. DETERMINATION FULLY IMPLEMENTED? (Y/N)			
27. DATE DETERMINATION FULLY IMPLEMENTED: (mmddyyyy)			

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B. Purpose

The ETA 9056 report provides quarterly information on the quality of nonmonetary determinations that State agencies issue to claimants and employers in the report period. Intrastate and Interstate single-claimant and multi-claimant separation and nonseparation nonmonetary determinations are included in the report. Nonmonetary determinations made by organizational units such as Benefits Accuracy Measurement (BAM) and Benefit Payment Control (BPC) are also included in the report. Notices of overpayments on uncontested earnings detected by any method (e.g., crossmatch) are excluded from the report.

C. Due Date and Transmittal

The report is due in the ETA National Office on the 20th of the second month following the quarter to which the data relates. This report must be transmitted electronically.

D. General Reporting Instructions

Each State will select a sample (see Appendix A) of nonmonetary determinations from the nonmonetary determinations time lapse universe for the preceding quarter. The sample universe is based on the time lapse data reported on the ETA 9052 for each month in the review quarter. Basic information or “skeleton” data that uniquely identifies each determination selected must be entered via the State’s SUNsparc machine into the UIRR data base by the 15th of the first month following the end of the review quarter. Skeleton data will either be automatically loaded as part of the State’s sample selection program or will be manually entered into the database. Once all skeleton data is entered, the State will invoke a sample validation program to verify that the determinations selected meet the parameters of a valid sample. If the selected sample meets validation, each nonmonetary determination will then be evaluated according to the instructions provided in ETA Handbook 301, Benefits Timeliness and Quality (BTQ) Nonmonetary Determinations Review (revised January, 1999). After the quality evaluation is complete, the official results will be entered into the database. The system will automatically compute the quality scores when all data has been entered.

- Includes State UI, UCFE and UCX.
- Includes Intrastate and Interstate.
- Includes single-claimant and multi-claimant.
- Includes BPC/BAM generated determinations (see exclusions below).

- Excludes overpayment notices on uncontested earnings detected by any method (e.g., crossmatch).

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- Excludes episodic claims programs such as Extended Benefits, Disaster Unemployment Assistance, and Trade Readjustment Allowances.
- Excludes Nonmonetary Redeterminations.

E. Definitions

Definitions, unless otherwise specified in these instructions, are the same definitions used for the ETA 207, ETA 9050, ETA 9052 and ETA 9053 reports found elsewhere in this Handbook and in ETA Handbook 301.

F. Data Collection Elements

1. Identification Number. This is a unique 5 digit number, beginning with 00001, assigned automatically by the State system to identify the nonmonetary determinations selected for review by State random selection software. This is a skeleton data item.
2. Issue Code. Enter the appropriate issue code. This is a skeleton data item.

<u>Code</u>	<u>Issue</u>
	<u>Separations:</u>
10	Quit
20	Discharge
29	Other Separation Issues
	<u>Nonseparations:</u>
30	Able/Available
40	Actively Seeking Work (Work Search)
50	Disqualifying/Deductible Income
60	Refusal of Suitable Work
70	Job Service Registration
71	Failure to Apply/Accept Job Referral
72	Training
73	Refusal of Profiling Referral
80	School Employee
81	Alien
82	Athlete
83	Unemployment Status
84	Seasonality
85	Removal of Disqualification
89	Reporting Requirements/Misrepresentation/Other
90	Multi-claimant Labor Dispute
99	Multi-claimant Other

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For detailed instructions on data collection items 3 through 27, refer to ETA Handbook 301, UI PERFORMS: Benefits Timeliness and Quality (BTQ) Nonmonetary Determinations Quality Review, Chapter V.

G. Checking the Report

The electronic reporting system will edit the data at three different stages: 1) If possible, each element or field in the report is validated before data entry can proceed to the next, 2) saving the report for each sampled case invokes the system's Review-Edit program which compares each element against any conditions it is required to meet including any arithmetic operations. The program will list any errors or warnings generated. If possible, the user is advised to resolve "Errors" before saving the data because 3) prior to transmitting the data, the system will run the same Review-Edit program.

The transmission function will generate an exception report identifying the case and the items that failed the edit(s). All cases failing the review edit must be corrected by the report date to allow transmission to the National Office. All cases must pass the review edit, otherwise, case transmission will not occur.

Detailed instructions on edit checks can be found in Handbook 402, Unemployment Insurance Required Reports User's Manual, Appendix C.