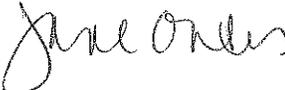


EMPLOYMENT AND TRAINING ADMINISTRATION ADVISORY SYSTEM U.S. DEPARTMENT OF LABOR Washington, D.C. 20210	CLASSIFICATION Reemployment/EUC
	CORRESPONDENCE SYMBOL OUI/OWI
	DATE December 4, 2012

ADVISORY: TRAINING AND EMPLOYMENT GUIDANCE LETTER NO. 20-11, Change 2

TO: STATE WORKFORCE AGENCIES
 STATE WORKFORCE LIAISONS
 UNEMPLOYMENT INSURANCE DIRECTORS
 EMPLOYMENT SERVICE DIRECTORS

FROM: JANE OATES
 Assistant Secretary 

SUBJECT: Reemployment Services (RES) and Reemployment and Eligibility Assessment (REA) Activities for Recipients of Emergency Unemployment Compensation (EUC)

1. **Purpose.** To transmit the new EUC08 RES/REA required reports (ETA 9128u, *Reemployment Services and Reemployment and Eligibility Assessments for EUC08 Claimants*; and ETA 9002, *EUC Quarterly Report Services to Participants & Performance Outcomes for Exiters*) and reporting instructions to state workforce agencies.
2. **References.**
 - *Middle Class Tax Relief and Job Creation Act of 2012*, Public Law (Pub. L.) 112-96, Title II, Sections 2001-2184 (Extended Benefits, Reemployment and Program Integrity Improvement Act);
 - *Supplemental Appropriations Act, 2008*, as amended, Pub. L. 110-252, Title IV, Sections 4001-4007 (EUC Act); and
 - Training and Employment Guidance Letter (TEGL) No. 20-11 and TEGL No. 20-11 Change 1, *Reemployment Services and Reemployment and Eligibility Assessment Activities for Recipients of Emergency Unemployment Compensation*.
3. **Background.** Providing effective reemployment services to the unemployed (including the long-term unemployed) and minimizing improper payments are high priorities for the U.S. Department of Labor (Department) and its partners, the state workforce agencies. Section 2142 of the Middle Class Tax Relief and Job Creation Act of 2012 (the Act) added subsection (i) to Section 4001 of the EUC Act, requiring that states operating an EUC program provide RES and “in person” REA to individuals who began receiving EUC First Tier benefits or who transitioned from First Tier to Second Tier benefits on or after March 23, 2012.
4. **Reporting Requirements.** Compliance with the Act necessitated additional reporting by state agencies on the provision of EUC08 RES/REA activities. The Department submitted an

RESCISSIONS None	EXPIRATION DATE Continuing
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Information Collection Request to the Office of Management and Budget (OMB) requesting changes to existing collections and new collections. The reporting instructions for ETA 9128u has been approved by OMB (No. 1205-0456) and ETA 9002 has been approved by OMB (No. 1205-0493) under the Paperwork Reduction Act of 1995. Please see attached forms and reporting instructions.

Note: States may report EUC RES/REA services that have been substantially completed. The RES/REA will be considered substantially completed when the services have been either completed and/or the failure to complete one or more of the services has been addressed by the state, such as through appropriate denials/disqualifications. States can enter the number of claimants who were provided complete or substantially complete services in Item 2 of the ETA 9128u.

5. **Action Requested.** State Administrators are requested to provide this information to the appropriate staff.
6. **Inquiries.** Inquiries should be directed to the appropriate Regional Office.
7. **Attachment(s).**

Attachment 1 - ETA 9128u - Reemployment Services and Reemployment and Eligibility Assessments for EUC08 Claimants

Attachment 2 - ETA 9002 EUC Quarterly Report Services to Participants & Performance Outcomes for Exiters

**Attachment 1 - ETA 9128u - Reemployment Services and Reemployment
and Eligibility Assessments for EUC Claimants**

A. Facsimile of Form

ETA 9128u – Reemployment Services and Reemployment and Eligibility Assessments for EUC08 Claimants

STATE	REGION	REPORT FOR PERIOD ENDING
1.	Number of Claimants First Scheduled for RES/REA	
2.	Number of Claimants Provided All Required RES/REA Services	
3.	Number of Claimants Provided Additional Services	
4.	Number of Claimants in Item 2 Disqualified	
5.	Number of Claimants in Item 4 Disqualified for Work Search Issues	
6.	Number of Claimants Who Failed to Report for the Initial RES/REA Session	
7.	Number in Item 6 Who Were Rescheduled Without Disqualification	
8.	Number in Item 6 Who Were Disqualified	
9.	Number in Item 6 Who Were Neither Rescheduled nor Disqualified	
10.	Number of Claimants Who Failed to Participate in a Service	
11.	Number in Item 10 Who Were Disqualified	

Comments:

OMB No.: 1205-0456 **OMB Expiration Date:** 11/31/2012 **Estimated Average Response Time:** 30 Minutes
OMB Burden Statement: These reporting instructions have been approved under the Paperwork reduction Act of 1995. Persons are not required to respond to this collection of information unless it displays a valid OMB control number. Public reporting burden for this collection of information includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Submission is required to obtain or retain benefits under SSA 303(a)(6). Respondents have no expectation of confidentiality. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the U.S. Department of Labor, Office of Unemployment Insurance, Room S-4524, 200 Constitution Ave., NW, Washington, DC, 20210.

B. Purpose

The ETA 9128u report provides quarterly information on Reemployment Services and Reemployment and Eligibility Assessments (RES/REA) for EUC08 claimants. Public Law (Pub. L.) 112-96 requires states with an EUC08 agreement to provide RES/REA services to most claimants starting Tier 1 after March 23, 2012, and to most claimants starting Tier 2 after March 23 who did not previously receive RES/REA services. Required services include provision of labor market and career information, skills assessment, orientation to American Job Center services, and a review of EUC08 eligibility relating to job search. Additional re-employment services may also be provided. The data on this report allow for monitoring of EUC RES/REA activities and will be used to determine the amount of funding to be provided.

C. Due Date and Transmittal

The electronically submitted report is due in the ETA National Office on the first day of the second month following the quarter of reference (e.g., August 1 for the April-June quarter). The first report was due August 1, 2012.

D. General Reporting Instructions

Data on this report applies to individuals in the EUC08 program only. State Workforce Agencies (SWAs) or other agencies administering EUC08 RES/REA activities should ensure that systems are in place to collect information on service receipt.

Data are reported for the quarter in which the activity occurred. The activity being reported could occur one or more quarters after the quarter in which the individual was first scheduled for EUC08 RES/REA services.

If there is any reportable EUC08 RES/REA activity in March 2012, it should be reported on the April-June 2012 report.

E. Item by Item Instructions

1. Number of Claimants First Scheduled for RES/REA. Enter the number of claimants who were scheduled for RES/REA for the first time during a period of EUC receipt based on a particular benefit year. If the claimant failed to report for RES/REA and was rescheduled, the rescheduled RES/REA should not be counted in this item. If a claimant is again scheduled for RES/REA based on a subsequent benefit year, the claimant should be counted again.
2. Number of Claimants Provided All Required RES/REA Services. This item will be used to determine the amount of funding to be provided to the state. Enter the number of claimants reported in item 2 who were provided all services required under Pub. L. 112-96. If the services were provided at different times, report the claimant in the quarter in which the final service was provided. Include claimants who were excused from participation in one or more, but not all, of the required services. If one or more services require follow-up action by the claimant, count that claimant as having been provided all services whether or not the claimant took that action.

3. Number of Claimants Receiving Additional Services. Enter the number of claimants reported in item 2 who received any additional services that are permitted, but not required, under Pub. L. 112-96 during the report quarter.
4. Number of Claimants in Item 2 Disqualified. Enter the number of claimants reported in item 2 who received required RES/REA services and were disqualified or were ineligible for benefits for a week or more. The disqualification or ineligibility must be the direct result of the eligibility review.
5. Number of Claimants in Item 4 Disqualified for Work Search Issues. Enter the number of claimants reported in item 4 who received required RES/REA services and were disqualified or were ineligible for benefits for a week or more based on a work search issue. The disqualification or ineligibility must be the direct result of the eligibility review. Claimants reported in item 5 should also be reported in item 4.
6. Number of Claimants Who Failed to Report for the Initial RES/REA Session. Enter the number of claimants who were scheduled for an initial RES/REA session who failed to report. It includes claimants who failed to report and who were subsequently rescheduled for RES/REA at a different time. Claimants counted in this item should also be counted in items 7, 8, or 9.
7. Number in Item 6 Who Were Rescheduled Without Disqualification. Enter the number of claimants reported in item 6 who were rescheduled and were not disqualified or ineligible for benefits for a week or more.
8. Number in Item 6 Who Were Disqualified. Enter the number of claimants reported in item 6 who were disqualified or were ineligible for benefits for a week or more as a result of failure to report.
9. Number in Item 6 Who Were Neither Rescheduled nor Disqualified. Enter the number of claimants reported in item 6 who were neither rescheduled nor disqualified nor ineligible for a week or more. This includes claimants who stopped claiming EUC08 as well as claimants excused from participation in RES/REA.
10. Number of Claimants Who Failed to Participate in a Reemployment Service. Enter the number of claimants who reported for an EUC08 RES/REA failed to participate in a reemployment service to which they were referred. This does not include failure to report to the initial REA session, as reported in item 6.
11. Number in Item 10 Who Were Disqualified. Enter the number of claimants reported in item 10 who were disqualified or were ineligible for benefits for a week or more as a result of not participating in a service to which they were referred.

**Attachment 2 - ETA 9002 EUC Quarterly Report Services
to Participants & Outcomes for Exiters**

A. Report Facsimile

ETA 9002 EUC Quarterly Report
Services to Participants & Performance Outcomes for
Exiters

U.S Department of Labor
Labor Exchange Reporting
System

State:		Report Due mm/dd/yyyy	OMB No. 1205-0456 Expires: 10/31/2012
Current Reporting Period Ending mm-dd-yyyy		Total EUC RES/REA Claimants	Veterans, Eligible Persons, TSMs Who Receive EUC RES/REA
1	Total Participants		
2	Interstate		
3	Male		
4	Female		
5	Adult (18 and over)		
6	18 - 44		
7	45 - 54		
8	55 and over		
9	Total Exiters		
10	Entered Employment Numerator		
11	Entered Employment (Youth)		
12	Entered Employment (18-44)		
13	Entered Employment (45-54)		
14	Entered Employment (55 and over)		
15	Entered Employment Rate		
16	Employment Retention at Six Mo. Numerator		
17	Employment Retention at Six Mo. Denominator		
18	Employment Retention Rate at Six Mo.		
19	Six Month Average Earnings		
Staff-Assisted Service Distribution			
20	Received Staff-Assisted Services		
21	Career Guidance		
22	Job Search Activities		
23	Referred to Employment		
24	Referred to WIA Services		
25	Received Workforce Info Services		
Report Comments:			

OMB No.: 1205-0493 **OMB Expiration Date:** 11/31/2012 **Estimated Average Response Time:** 80 Hours
OMB Burden Statement: These reporting instructions have been approved under the Paperwork reduction Act of 1995. Persons are not required to respond to this collection of information unless it displays a valid OMB control number. Public reporting burden for this collection of information includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Submission is required to obtain or retain benefits under SSA 303(a)(6). Respondents have no expectation of confidentiality. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the U.S. Department of Labor, Office of Unemployment Insurance, Room S-4524, 200 Constitution Ave., NW, Washington, DC, 20210.

B. Purpose

Providing effective reemployment services to the unemployed (including the long-term unemployed) and minimizing erroneous payments are high priorities for the Department of Labor and its partners, the state workforce agencies. Providing reemployment assistance to those who are unemployed can result in more rapid reemployment, shorter claim duration,¹ and fewer erroneous payments of unemployment compensation (UC). In light of this evidence, Congress passed the Emergency Unemployment Compensation (EUC) Act, as amended by Section 2142 of the Middle Class Tax Relief and Job Creation Act of 2012 (Act). The EUC Act adds, among other provisions, a requirement that states operating an EUC program provide reemployment services and reemployment and eligibility assessments (RES/REA) to individuals who begin receiving EUC First Tier benefits or who transition from First Tier to Second Tier benefits on or after the 30th day after the date of enactment of the Act. The EUC Act makes participation in RES/REA services a condition of continued EUC eligibility for these individuals (see Training and Employment Guidance Letter (TEGL) No. 20-11 for more information about requirements and waivers).

States are required to provide quarterly information on the ETA 9002 EUC for those claimants who are referred to RES/REA. This data collection requirement will provide demographic data on claimants, information about the types of reemployment services and referrals received, as well as outcomes data including claimants entered employment rate, employment retention rate, and average six month earnings.

C. Due Date and Transmittal

The reports will be submitted electronically to the ETA National Office as part of the ETA 9002 and VETS 200 quarterly submissions. For Program Year (PY) 2012 the due dates are November 14, 2012, February 14, 2013, May 15, 2013, and August 31, 2013. The first report will be due November 14, 2012 and will be the first submission using the updated Electronic Data Reporting and Validation System (E-DRVS).

D. General Reporting Instructions

Data on this report concerns RES/REA services to participants and performance outcomes for program exiters. RES/REA participants and exiters will be identified by recording the code REUC in column 91 (special project identifier) of the revised Labor Exchange Reporting System (LERS) individual record layout. States will **not** be required to build this report internally. Rather, the states will be responsible for making the required changes to the individual record layout and submitting the revised layout to ETA's E-DRVS. E-DRVS will build the report utilizing the modified record layout. For PY 2012, the pertinent cohorts E-DRVS will utilize are summarized in the following table.

¹ Louis Jacobson, (February 1991). "Congressional Testimony on the Effectiveness of the Employment Service in Aiding UI Claimants." Before the Subcommittee on Human Resources, House Committee on Ways And Means, Washington D.C.

Program Year 2012	Time Periods To Be Reported			
Report Quarters	July – Sept.	Oct. – Dec.	Jan. – Mar.	Apr. – June
Report Due Date	<i>November 14, 2012</i>	<i>February 14, 2013</i>	<i>May 15, 2013</i>	<i>August 14, 2013</i>
Participants and Characteristics	10/1/11 to 9/30/12	1/01/12 to 12/31/12	4/1/12 to 3/31/13	7/1/12 to 6/30/13
Exiters and Services	7/1/11 to 6/30/12	10/1/11 to 9/30/12	1/1/12 to 12/31/12	4/1/12 to 3/31/13
Entered Employment Rate	1/1/10 to 12/31/10	4/1/10 to 3/31/11	7/1/10 to 6/30/11	10/1/10 to 9/30/11
Employment Retention Rate	7/1/09 to 6/30/10	10/1/09 to 9/30/10	1/1/10 to 12/31/10	4/1/10 to 3/31/11
Six Months Average Earnings	7/1/09 to 6/30/10	10/1/09 to 9/30/10	1/1/10 to 12/31/10	4/1/10 to 3/31/11

E. Item by Item Instructions

As directed in the previous section, states are required only to submit a revised LERS individual record layout to E-DRVS, which will build and submit the 9002 EUC reports to ETA (upon State certification). However, the item by item instructions are available in the updated ETA 406 Handbook. The updated draft ETA 406 handbook is available only upon request (ETAPerformance@dol.gov) until final Office of Management and Budget approval is granted, as the modifications to the collection are on interim month-to-month approval status.